



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Thomas J. Krall                      Exr. Unknown  
Serial No: 09/781,656              Art Unit: 1722  
Filed: February 12, 2001          Confirmation No.: 9491  
For: SHUTTLE-TYPE BLOWING MOLDING  
METHOD AND APPARATUS

#5  
#llw  
2702

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

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August 1, 2001  
AUG 09 2001

TC 1700

SECOND INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to applicant's obligations under 37 CFR §§1.56, 1.97, 1.98, applicant is pleased to disclose the information in the reference identified on the enclosed PTO 1449 form. A copy of the identified reference is also enclosed.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in this application. Thus, it is respectfully submitted that the information in this Statement must, under 37 CFR §1.97(b)(1), be considered and on a no fee basis.

It is respectfully submitted that each of the claims in this application is patentable over the enclosed reference, individually or in any proper

combination with any of the other references already of record in this application.

Respectfully submitted,

Thomas A. Meehan  
Thomas A. Meehan  
Reg. No. 19,713

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Attorney Docket: 17472 USA/01106

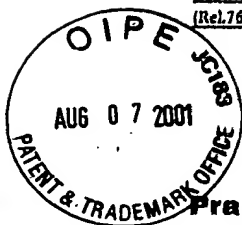
TAM/kah

Certificate under 37 CFR §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington D.C. on Aug. 2, 2001

Date: Aug. 2, 2001

Thomas A. Meehan  
Thomas A. Meehan, Reg. No. 19753

Practitioner's Docket No. 17472/01106**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent application

of \_\_\_\_\_  
Inventor(s)for \_\_\_\_\_  
Title of Invention

the specification of which is being transmitted herewith

OR

In re application of: Thomas J. Krall

Application No.: 0 9 / 781,656 Group No.: 1722

Filed: February 12, 2001 Examiner:

For: SHUTTLE-TYPE BLOWING MOLDING  
AND APPARATUSAssistant Commissioner for Patents  
Washington, D.C. 20231SECOND  
INFORMATION DISCLOSURE STATEMENTRECEIVED  
AUG 09 2001  
TC 1700**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***  
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Kathy A. Hower

Kathy A. Hower

(type or print name of person certifying)

**\*WARNING:** Each paper or fee filed by Express Mail must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).  
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1998, 60 Fed. Reg. 58,439, at 58,442.

(Information Disclosure Statement [6-1]—page 1 of \_\_\_\_\_)

NOTE: "An information disclosure statement shall be considered by the Office if filed by the applicant:

- (1) Within three months of the filing date of a national application;
- (2) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; or
- (3) Before the mailing date of a first Office action on the merits, whichever event occurs last."

37 C.F.R. § 1.97(b).

NOTE: "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. § 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) each inventor named in the application;
- (2) each attorney or agent who prepares or prosecutes the application; and
- (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(a).

NOTE: The "duty as described in § 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1136 O.G. 13-25 at 17.

WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(b).

### List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

(check sections forming a part of this statement; discard unused sections and number pages consecutively)

1. ☒ Preliminary Statements
2. ☒ FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)
3. ☐ Statement as to Information Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☒ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items
  - 7A. ☐ EPO Search Report
  - 7B. ☐ English Language Version of EPO Search Report
8. ☐ Translation(s) of Non-English Language Documents
9. ☐ Concise Explanation of English Language Listed Information Items (Optional)
10. ☒ Identification of Person(s) Making This Information Disclosure Statement

(complete the following, if appropriate)

Sections \_\_\_\_\_, respectively, have been continued on ADDED PAGE(S).

NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1139 O.G. 37-41, 37).

(Information Disclosure Statement [6-1]—page 2 of 6)

**Section 1. Preliminary statements**

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.



**Section 6. Copies of Listed Information Items Accompanying This Statement**

**NOTE:** 37 C.F.R. 1.98(a)(2) requires that any information disclosure statement filed under § 1.97 shall include: "A legible copy of: (i) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that a copy of a U.S. patent application need be included . . . ."

**NOTE:** The wording in § 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of each item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.

Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this information statement.

(complete the following, if applicable)

- ☐ Exception(s) to above:
- ☐ Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.
  - ☐ Cumulative patents or publications identified in Section 5.

(Information Disclosure Statement—Section 6. Copies of Listed Information Items Accompanying This Statement [8-1]—page 5 of 6)

**Section 10. Identification of Person(s) Making This Information Disclosure Statement**

The person making this statement is

*(check each applicable item)*

- (a) ☐ the inventor(s) who signs below

\_\_\_\_\_  
SIGNATURE OF INVENTOR

\_\_\_\_\_  
*(type name of inventor who is signing)*

- (b) ☐ an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c))

\_\_\_\_\_  
SIGNATURE OF INVENTOR

\_\_\_\_\_  
*(type name of inventor who is signing)*

- (c) ☒ the practitioner who signs below on the basis of the information:

*(check each applicable item)*

- ☐ supplied by the inventor(s).  
☐ supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. § 1.56(c))  
☒ in the practitioner's file.

Reg. No.: 19,713

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Customer No.: 20879

*Thomas A. Meehan*  
\_\_\_\_\_  
SIGNATURE OF PRACTITIONER

Thomas A. Meehan

\_\_\_\_\_  
*(type or print name of practitioner)*

P.O. Box 916

\_\_\_\_\_  
P.O. Address

Toledo, OH 43697-0916  
\_\_\_\_\_

(Information Disclosure Statement—Section 10. Identification of Person(s) Making This Information Disclosure Statement [8-1]—page 6 of 6)